



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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(3)

In re Patent Application of: )  
REDDY )  
Serial No. 10/025,823 )  
Confirmation No. 4265 )  
Filing Date: December 9, 2001 )  
For: DOCUMENT PROCESSING SYSTEM )  
AND RELATED METHODS )

COPY OF PAPERS  
ORIGINALLY FILED

RESPONSE TO NOTICE TO FILE MISSING PARTS

Director, U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts  
mailed January 28, 2002, Applicants enclose the following:

1. Filing fee of \$538.00 for a large entity (fees  
calculated as shown below):

	(Col. 1)		(Col. 2)		SMALL ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE
BASIC FEE						\$ 370
TOTAL CLAIMS	34	-20	14		X 9	\$ 126
INDEP CLAIMS	4	- 3	1		X 42	\$ 42
*If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$ 538

2. Surcharge of \$65.00 for small entity.
3. Declaration and Power of Attorney.

In re Patent Application of:

**REDDY**

Serial No. **10/025,823**

Filing Date: **December 19, 2001**

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4. Applicant qualifies as a small entity under 37 CFR §1.27 as indicated on the Application Transmittal Sheet filed on December 19, 2001.

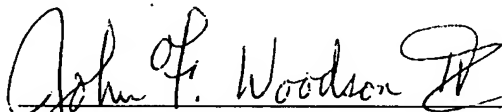
5. Copy of "Notice to File Missing Parts of Application--Filing Date Granted" mailed January 28, 2002.

6. Assignment to Pharos Systems Limited together with \$40 recordal fee and cover page.

Checks in the amounts of \$603.00 to cover the filing fee and surcharge, and \$40.00 to cover the assignment recordal fee are enclosed.

If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Account No. **01-0484**.

Respectfully submitted,



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Attorney for Applicant

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: DIRECTOR, U.S. PATENT AND TRADEMARK OFFICE, WASHINGTON, D.C. 20231, on this 12<sup>th</sup> day of April, 2002.





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,823	12/19/2001	Paul James Reddy	69017

CONFIRMATION NO. 4265

27975  
ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A.  
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P.O. BOX 3791  
ORLANDO, FL 32802-3791

## FORMALITIES LETTER



\*OC000000007372802\*

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Date Mailed: 01/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$336.
  - \$252 for 14 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1206.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 BNGUYEN1 00000001 10025823

370.00 OP  
126.00 OP  
42.00 OP  
65.00 OP

01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205

Case No. 69017



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DIRECTOR, U.S. PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231

In re Application of: **REDDY**  
Serial No.: **10/025,823**  
Confirmation No. **4265**  
Filed: **December 19, 2001**  
For: **DOCUMENT PROCESSING SYSTEM AND RELATED METHODS**

COPY OF PAPERS  
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Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified application.

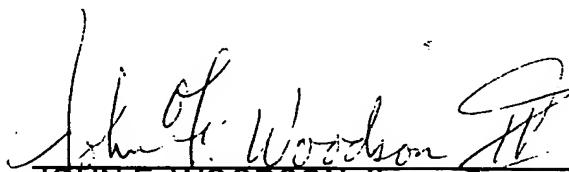
1. ☒ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount of \$ 180.00.
3. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4. ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the amount of \$ 130.00 to cover the petition fee.
5. ☒ The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.
6. ☒ Please associate this application with Customer No. 27975.



27975

PATENT TRADEMARK OFFICE

Date: April 12, 2002

  
JOHN F. WOODSON, II  
Reg. No. 45,236